

Office of Performance Evaluations

Analyst: Headlee

Historical Summary

| OPERATING BUDGET | FY 2020 Total App | FY 2020 Actual | FY 2021 Approp | FY 2022 Request | FY 2022 Gov Rec |
|---------------------------------|------------------------------|---------------------------|---------------------------|----------------------------|----------------------------|
| BY FUND CATEGORY | | | | | |
| General | 940,800 | 912,000 | 950,200 | 988,500 | 983,800 |
| Percent Change: | | (3.1%) | 4.2% | 4.0% | 3.5% |
| BY OBJECT OF EXPENDITURE | | | | | |
| Personnel Costs | 870,300 | 822,800 | 882,500 | 914,800 | 910,100 |
| Operating Expenditures | 67,700 | 87,300 | 67,700 | 67,600 | 67,600 |
| Capital Outlay | 2,800 | 1,900 | 0 | 6,100 | 6,100 |
| Total: | 940,800 | 912,000 | 950,200 | 988,500 | 983,800 |
| Full-Time Positions (FTP) | 8.00 | 8.00 | 8.00 | 8.00 | 8.00 |

Division Description

The Office of Performance Evaluations (OPE) is a nonpartisan legislative office that promotes accountability and confidence in state government (Sections 67-457 through 67-464, Idaho Code). OPE staff works under the general direction of the Joint Legislative Oversight Committee (JLOC), which consists of an equal number of legislators from both political parties and both houses.

The office conducts independent, objective, and in-depth performance evaluations of state agencies, programs, and functions. OPE staff report evaluation results and recommendations to:

- JLOC and other legislative committees to assist them in making policy and budgetary decisions; and
- State agencies to help them improve their operational efficiency and program effectiveness.

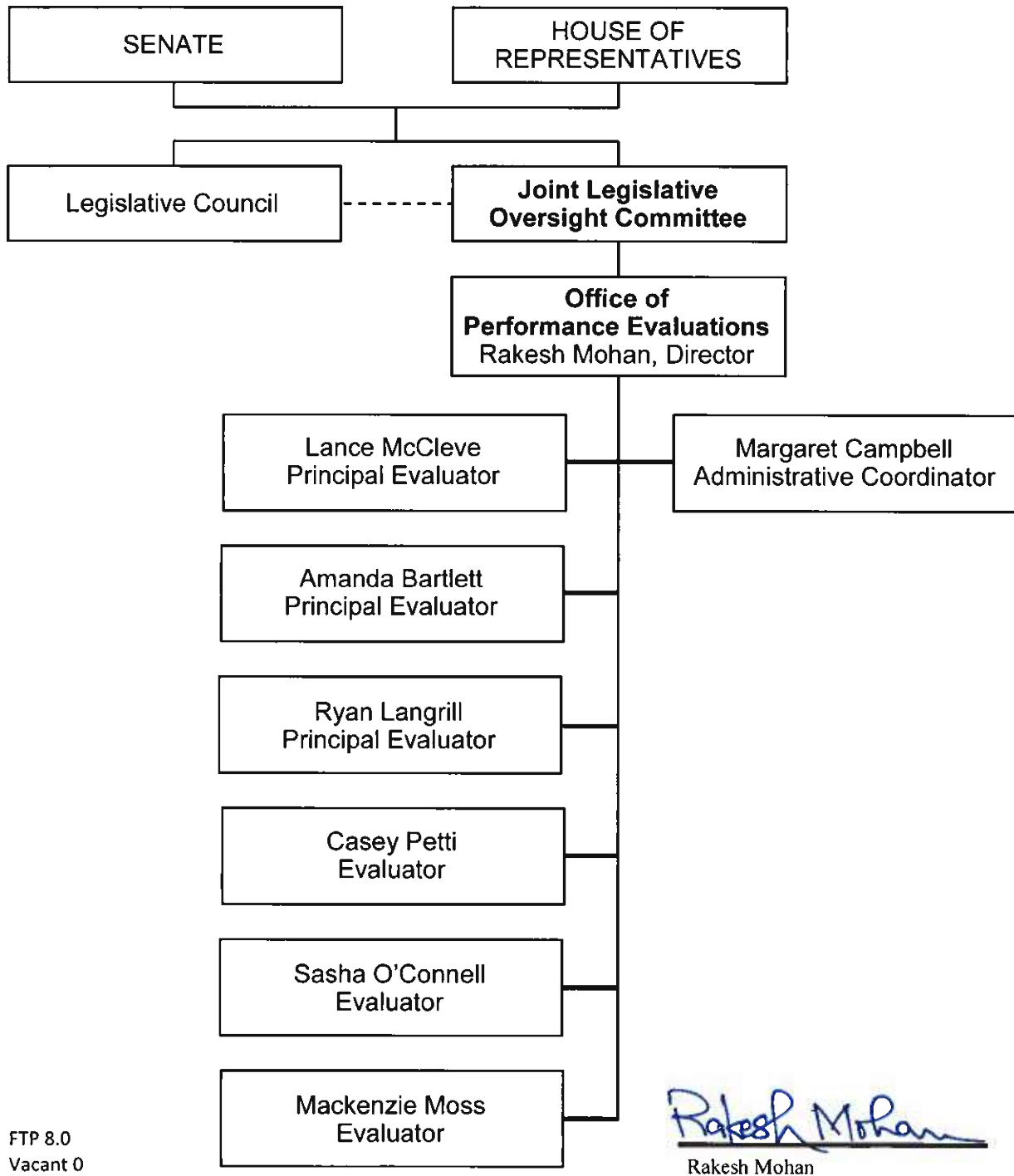
OPE evaluations help:

- Ensure compliance with state laws and legislative intent;
- Improve government performance and accountability to the public; and
- Identify cost savings and opportunities to avoid unnecessary future costs.

Section 67-3506, Idaho Code, states that the Governor shall transmit the budget requests of the legislative and judicial departments to the Legislature as they were submitted by the departments.

Organizational Chart for the Office of Performance Evaluations

November 2020



FTP 8.0
Vacant 0


Rakesh Mohan

Performance Evaluations, Office of

FY 2020 Actual Expenditures by Division

Analyst: Headlee

| | | | FTP | PC | OE | CO | T/B | LS | Total |
|--|---------------------------------------|------|-----|-----------------|---------------|----------------|------------|------------|-----------------|
| 0.30 | FY 2020 Original Appropriation | | | | | | | | |
| 0001-00 | Gen | 8.00 | | 881,600 | 67,700 | 2,800 | 0 | 0 | 952,100 |
| Totals: | | 8.00 | | 881,600 | 67,700 | 2,800 | 0 | 0 | 952,100 |
| 0.44 | Rescissions | | | | | | | | |
| 0001-00 | Gen | 0.00 | | (1,800) | 0 | 0 | 0 | 0 | (1,800) |
| Totals: | | 0.00 | | (1,800) | 0 | 0 | 0 | 0 | (1,800) |
| 0.45 | Omnibus Decisions | | | | | | | | |
| 0001-00 | Gen | 0.00 | | (9,500) | 0 | 0 | 0 | 0 | (9,500) |
| Totals: | | 0.00 | | (9,500) | 0 | 0 | 0 | 0 | (9,500) |
| 1.00 | FY 2020 Total Appropriation | | | | | | | | |
| 0001-00 | Gen | 8.00 | | 870,300 | 67,700 | 2,800 | 0 | 0 | 940,800 |
| Totals: | | 8.00 | | 870,300 | 67,700 | 2,800 | 0 | 0 | 940,800 |
| 1.21 | Net Object Transfer | | | | | | | | |
| 0001-00 | Gen | 0.00 | | (37,000) | 37,000 | 0 | 0 | 0 | 0 |
| Totals: | | 0.00 | | (37,000) | 37,000 | 0 | 0 | 0 | 0 |
| 1.61 | Reverted Appropriation | | | | | | | | |
| 0001-00 | Gen | 0.00 | | (10,500) | (17,400) | (900) | 0 | 0 | (28,800) |
| Totals: | | 0.00 | | (10,500) | (17,400) | (900) | 0 | 0 | (28,800) |
| 2.00 | FY 2020 Actual Expenditures | | | | | | | | |
| 0001-00 | Gen | 8.00 | | 822,800 | 87,300 | 1,900 | 0 | 0 | 912,000 |
| | General | | | 822,800 | 87,300 | 1,900 | 0 | 0 | 912,000 |
| Totals: | | 8.00 | | 822,800 | 87,300 | 1,900 | 0 | 0 | 912,000 |
| Difference: Actual Expenditures minus Total Appropriation | | | | | | | | | |
| 0001-00 | Gen | | | (47,500) | 19,600 | (900) | 0 | 0 | (28,800) |
| | General | | | (5.5%) | 29.0% | (32.1%) | N/A | N/A | (3.1%) |
| Difference From Total Approp | | | | (47,500) | 19,600 | (900) | 0 | 0 | (28,800) |
| Percent Diff From Total Approp | | | | (5.5%) | 29.0% | (32.1%) | N/A | N/A | (3.1%) |

Part I – Agency Profile

Agency Overview

The Office of Performance Evaluations (OPE), created in 1994, is a nonpartisan, independent office that serves the Legislature's information needs by conducting performance evaluations of state agencies and programs. The mission of OPE is to promote confidence and accountability in state government through these evaluations. Findings, conclusions, and recommendations from OPE evaluations are used by the Legislature to make policy and budget decisions and by agencies to improve performance.

Performance evaluations include assessing whether

- agencies or programs are complying with applicable laws and legislative intent;
- services are provided efficiently and cost-effectively; and
- programs and services are achieving intended results.

OPE works under the direction of the bipartisan Joint Legislative Oversight Committee (JLOC). The office is authorized eight full-time equivalent positions.

Core Functions/Idaho Code

Authorizing statutes: Idaho Code §§ 67-457 through 67-464

1. Conduct performance evaluations and report each evaluation to JLOC
2. Make recommendations to agencies for program improvements
3. Provide useful recommendations to assist the Legislature in making policy and budget decisions
4. Be responsive to the Legislature's information needs

Revenue and Expenditures

| Revenue | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
|--------------------------|------------------|------------------|------------------|------------------|
| General Fund | \$889,200 | \$886,200 | \$899,500 | \$942,600 |
| Dedicated | 0 | 0 | 0 | 0 |
| Total | \$889,200 | \$886,200 | \$899,500 | \$942,600 |
| Expenditures | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
| Personnel Costs | \$788,925 | \$821,736 | \$801,529 | \$822,845 |
| Operating Expenditures* | 116,665 | \$102,659 | \$71,426 | \$87,326 |
| Capital Outlay | 9,842 | 0 | \$4,315 | \$1,879 |
| Trustee/Benefit Payments | 0 | 0 | 0 | 0 |
| Total | \$915,432 | \$924,395 | \$877,270 | \$912,050 |

* Some operating expenditures were appropriated in prior fiscal year.

Profile of Cases Managed and/or Key Services Provided

| Cases Managed and/or Key Services Provided | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
|--|---------|---------|---------|---------|
| Number of performance evaluation projects completed | 3 | 3 | 4 | 3 |
| Number of follow-up reviews for previous evaluations completed | 2 | 0 | 2 | 1 |

The OPE workload depends on the number and nature of projects assigned each year by JLOC. Completed reports may be accessed at <https://legislature.idaho.gov/ope/reports/>.

Red Tape Reduction Act

Each agency shall incorporate into its strategic plan a summary of how it will implement the Red Tape Reduction Act, including any associated goals, objectives, tasks, or performance targets. This information may be included as an addendum.

| | As of July 1, 2019 | As of July 1, 2020 |
|------------------------|--------------------|--------------------|
| Number of Chapters | N/A | N/A |
| Number of Words | N/A | N/A |
| Number of Restrictions | N/A | N/A |

OPE does not have any administrative rules.

FY 2020 Performance Highlights

OPE released the following evaluation and follow-up reports in fiscal year 2020:

- Non-Emergency Medical Transportation management letter
- Managing Correctional Capacity
- Chained Consumer Price Index
- Residential Care follow-up review

OPE received one award during the fiscal year:

- 2020 Impact Award of the National Conference of State Legislatures, National Legislative Program Evaluation Society, for the report *Southwest Idaho Treatment Center*.

Part II – Performance Measures

| Performance Measure | | FY 2017 | FY 2018 | FY 2019 | FY 2020 | FY 2021 |
|---|--------|---------|---------|---------|---------|---------|
| Goal 1 | | | | | | |
| Provide useful recommendations to assist the Legislature in making policy and budget decisions. | | | | | | |
| 1. Performance is measured by the number of bills and resolutions introduced or enacted in response to OPE recommendations. | actual | 7 | 9 | 8 | 2 | ----- |
| | target | n/a | n/a | n/a | n/a | |
| Goal 2 | | | | | | |
| Respond to the Legislature's information needs. | | | | | | |
| 2. Performance is measured by the number of "24-hour" limited reviews completed. | actual | 1 | 1 | 1 | 1 | ----- |
| | target | n/a | n/a | n/a | n/a | |
| 3. Performance is measured by the number of evaluation-related presentations made to the Legislature (does not include presentations to JLOC). | actual | 12 | 5 | 7 | 2 | ----- |
| | target | n/a | n/a | n/a | n/a | |
| Goal 3 | | | | | | |
| Promote confidence and accountability in state government through education and outreach to interested stakeholders and participation in professional associations. | | | | | | |
| 4. Performance is measured by the number of evaluation-related presentations made outside of the Legislature. | actual | 15 | 19 | 11 | 11 | ----- |
| | target | n/a | n/a | n/a | n/a | |

Performance Measure Explanatory Notes

Bills introduced

- Senate Bill 1274 would have created the Office of Administrative Hearings. In our report *Risk of Bias in Administrative Hearings*, we recommended that the Legislature consider whether to implement a centralized hearing system.
- House Bill 320 would have given the Office of the State Controller statutory authority to implement and fund the Criminal Justice Integrated Data System. In our report *Court-Ordered Fines and Fees*, we found that data collection, monitoring, and reporting of court-ordered financial obligations were insufficient to answer basic questions about collection efforts. The intent of House Bill 320 was to make data available to improve decision-making across the criminal justice system, including collection efforts.

24-hour reviews

OPE staff conducted one background review on driver verification cards for undocumented individuals.

Legislative presentations

Staff made two presentations to legislative committees, not including presentations to JLOC:

- An overview to the Child Protection Oversight Committee of three OPE reports on the child welfare system.
- A summary of the report *Managing Correctional Capacity* at a joint meeting of the House Judiciary, Rules, and Administration committee and the Senate Judiciary and Rules committee.

Presentations made outside the legislature

Staff made 11 presentations outside of the legislature to the following organizations: American Evaluation Association, a work group organized by the Treasurer discussing retirement policy, the National Legislative Performance Evaluations Society, a delegation of officials from Brazil, and classes at Boise State University, Cornell University, and George Washington University.

For More Information Contact

Rakesh Mohan, Director
Office of Performance Evaluations
Idaho Legislature
PO Box 83720
Boise, ID 83720-0055
Phone : (208) 332-1470
E-mail : rmohan@ope.idaho.gov
Website : www.legislature.idaho.gov/ope

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Comparative Summary

| Decision Unit | Agency Request | | | Governor's Rec | | |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | FTP | General | Total | FTP | General | Total |
| FY 2021 Original Appropriation | 8.00 | 950,200 | 950,200 | 8.00 | 950,200 | 950,200 |
| Base Adjustments | 0.00 | 9,500 | 9,500 | 0.00 | 9,500 | 9,500 |
| FY 2022 Base | 8.00 | 959,700 | 959,700 | 8.00 | 959,700 | 959,700 |
| Benefit Costs | 0.00 | 14,800 | 14,800 | 0.00 | 2,400 | 2,400 |
| Replacement Items | 0.00 | 6,100 | 6,100 | 0.00 | 6,100 | 6,100 |
| Statewide Cost Allocation | 0.00 | (100) | (100) | 0.00 | (100) | (100) |
| Change in Employee Compensation | 0.00 | 8,000 | 8,000 | 0.00 | 15,700 | 15,700 |
| FY 2022 Program Maintenance | 8.00 | 988,500 | 988,500 | 8.00 | 983,800 | 983,800 |
| Budget Law Exemptions and Adjustments | 0.00 | 0 | 0 | 0.00 | 0 | 0 |
| FY 2022 Total | 8.00 | 988,500 | 988,500 | 8.00 | 983,800 | 983,800 |
| Change from Original Appropriation | 0.00 | 38,300 | 38,300 | 0.00 | 33,600 | 33,600 |
| % Change from Original Appropriation | | 4.0% | 4.0% | | 3.5% | 3.5% |

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| Budget by Decision Unit | FTP | General | Dedicated | Federal | Total |
|--|------|---------|-----------|---------|---------|
| FY 2021 Original Appropriation | | | | | |
| The Legislature addressed one line item for FY 2021, which was a onetime 1% base reduction of \$9,500 from personnel costs. | | | | | |
| | 8.00 | 950,200 | 0 | 0 | 950,200 |

Base Adjustments

This adjustment restores the onetime 1% reduction of \$9,500 from the General Fund.

| | | | | | |
|---------------------------|------|-------|---|---|-------|
| Agency Request | 0.00 | 9,500 | 0 | 0 | 9,500 |
| Governor's Recommendation | 0.00 | 9,500 | 0 | 0 | 9,500 |

| | | | | | |
|---------------------------|------|---------|---|---|---------|
| FY 2022 Base | | | | | |
| Agency Request | 8.00 | 959,700 | 0 | 0 | 959,700 |
| Governor's Recommendation | 8.00 | 959,700 | 0 | 0 | 959,700 |

Benefit Costs

Employer-paid benefit changes include an 11% increase (or \$1,280 per eligible FTP) for health insurance, bringing the total appropriation to \$12,930 per FTP. Also included is a restoration of the unemployment insurance rate, a partial restoration of the unused sick leave rate, and adjustments to workers' compensation that vary by agency.

| | | | | | |
|---|------|--------|---|---|--------|
| Agency Request | 0.00 | 14,800 | 0 | 0 | 14,800 |
| <i>The Governor recommends no increase for health insurance due to fewer claims than expected and a one-year holiday for employers who contribute to the PERSI-managed sick leave plan.</i> | | | | | |
| Governor's Recommendation | 0.00 | 2,400 | 0 | 0 | 2,400 |

Replacement Items

Funding for onetime replacement items includes \$6,100 from the General Fund for two laptops, eight wide-screen monitors, and three desk phones.

| | | | | | |
|---------------------------|------|-------|---|---|-------|
| Agency Request | 0.00 | 6,100 | 0 | 0 | 6,100 |
| Governor's Recommendation | 0.00 | 6,100 | 0 | 0 | 6,100 |

Statewide Cost Allocation

This request includes adjustments to recover the cost of services provided by other agencies in accordance with federal and state guidelines on cost allocation. Risk management costs will decrease by \$300 and State Controller fees will increase by \$200, for a net decrease of \$100.

| | | | | | |
|---------------------------|------|-------|---|---|-------|
| Agency Request | 0.00 | (100) | 0 | 0 | (100) |
| Governor's Recommendation | 0.00 | (100) | 0 | 0 | (100) |

Change in Employee Compensation

For calculation purposes, agencies were directed to include the cost of a 1% salary increase for permanent and temporary employees.

| | | | | | |
|---|------|--------|---|---|--------|
| Agency Request | 0.00 | 8,000 | 0 | 0 | 8,000 |
| <i>The Governor recommends a 2% increase in employee compensation, distributed on merit. He does not recommend a compensation increase for group and temporary positions.</i> | | | | | |
| Governor's Recommendation | 0.00 | 15,700 | 0 | 0 | 15,700 |

| | | | | | |
|------------------------------------|------|---------|---|---|---------|
| FY 2022 Program Maintenance | | | | | |
| Agency Request | 8.00 | 988,500 | 0 | 0 | 988,500 |
| Governor's Recommendation | 8.00 | 983,800 | 0 | 0 | 983,800 |

Budget Law Exemptions and Adjustments

Office of Performance Evaluations

LUMP SUM: The agency requests an appropriation that is exempt from Section 67-3511, Idaho Code, which restricts the transfer of appropriation among personnel costs, operating expenditures, capital outlay, and trustee and benefit payments. This authority requires legislative approval.

| | | | | | |
|---------------------------|------|---|---|---|---|
| Agency Request | 0.00 | 0 | 0 | 0 | 0 |
| Governor's Recommendation | 0.00 | 0 | 0 | 0 | 0 |

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| Budget by Decision Unit | FTP | General | Dedicated | Federal | Total |
|-----------------------------------|-------------|----------------|-----------|----------|----------------|
| FY 2022 Total | | | | | |
| Agency Request | 8.00 | 988,500 | 0 | 0 | 988,500 |
| <i>Governor's Recommendation</i> | <i>8.00</i> | <i>983,800</i> | <i>0</i> | <i>0</i> | <i>983,800</i> |
| Agency Request | | | | | |
| Change from Original App | 0.00 | 38,300 | 0 | 0 | 38,300 |
| % Change from Original App | 0.0% | 4.0% | | | 4.0% |
| <i>Governor's Recommendation</i> | | | | | |
| <i>Change from Original App</i> | <i>0.00</i> | <i>33,600</i> | <i>0</i> | <i>0</i> | <i>33,600</i> |
| <i>% Change from Original App</i> | <i>0.0%</i> | <i>3.5%</i> | | | <i>3.5%</i> |